

FORM PTO-1449	SERIAL NO. 10/810,989	CASE NO. 11336/699 (P02056US U3C)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 26, 2004	GROUP ART UNIT TBA
(use several sheets if necessary)		APPLICANT(S): David H. Griesinger

1 OF 3

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
DS	A1	4,862,502	8/29/1989	Griesinger		
DS	A2	5,109,419	4/28/1992	Griesinger		
DS	A3	5,136,650	8/04/1992	Griesinger		
DS	A4	5,161,197	11/03/1992	Griesinger		
DS	A5	5,796,844	8/18/1998	Griesinger		
DS	A6	5,870,480	2/09/1999	Griesinger		
DS	A7	US 2003/0206639 A1	11/06/2003	Griesinger		
DS	A8	US 2004/0005064 A1	1/08/2004	Griesinger		
DS	A9	US 2004/0005065 A1	1/08/2004	Griesinger		
DS	A10	US 2004/0022392 A1	2/05/2004	Griesinger		
DS	A11	US 6,683,962 B1	1/27/2004	Griesinger		
DS	A12	US 6,697,491 B1	2/24/2004	Griesinger		

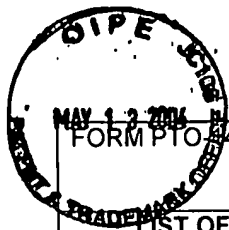
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A					
	A					

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
DS	A13	Griesinger, David, "Practical Processors and Programs for Digital Reverberation", <i>Proceedings of the AES 7<sup>th</sup> International Conference, Audio Engineering Society, Toronto, May 1989, pp 187-195.</i>
DS	A14	Griesinger, David, "Multichannel Matrix Surround Decoders for Two-Eared Listeners", <i>Presented at the 101<sup>st</sup> Convention of the Audio Engineering Society, Los Angeles, Nov. 8-11, 1996, Preprint # 4402, 21 pages.</i>
DS	A15	Griesinger, David, "Spaciousness and Envelopment in Musical Acoustics", <i>Presented at the 101<sup>st</sup> Convention of the Audio Engineering Society, Los Angeles, Nov. 8-11 1996, Preprint # 4401, 23 pages.</i>
DS	A16	Griesinger, David, "Speaker Placement, Externalization, and Envelopment in Home Listening Rooms", <i>Presented at the 105<sup>th</sup> Convention of the Audio Engineering Society, San Francisco, 1998, Preprint # 4860, 48 pages.</i>

EXAMINER /Douglas Suthers/	DATE CONSIDERED 08/16/2006
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(use several sheets if necessary)	APPLICANT(S): David H. Griesinger	

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
DS	A17	Griesinger, David, "General Overview of Spatial Impression, Envelopment, Localization, and Externalization", <i>Proceedings of the 15<sup>th</sup> International Conference of the Audio Engineering Society on Small Room Acoustics</i> , Denmark, Oct. 31-Nov.2, 1998, pp 136-149.
DS	A18	Griesinger, David "Theory and Design of a Digital Audio Processor for Home Use", <i>J. Audio Eng. Soc.</i> , Vol. 37, No. 1/2, 1989, pp 40-50.
DS	A19	Griesinger, David, "Binaural Techniques for Music reproduction" <i>Proceedings of the 8<sup>th</sup> International Conference of the Audio Engineering Society</i> , 1990, pp 197-207.
DS	A20	Griesinger, David, "Improving Room Acoustics Through Time Variant Synthetic Reverberation", <i>Presented at the 90<sup>th</sup> Convention of the Audio Engineering Society</i> , Paris, Feb. 1991, reprint # 3014, 27 pgs.
DS	A21	Griesinger, David, "Room Impression Reverberance and Warmth in Rooms and Halls", <i>Presented at the 93<sup>rd</sup> Convention of the Audio Engineering Society</i> , San Francisco, Nov. 1992, Preprint #3383, 21 pgs.
	A22	Griesinger, David, "Measures of Spatial Impression and Reverberance based on the Physiology of Human Hearing", <i>Proceedings of the 11<sup>th</sup> International Audio Engineering Society Conference</i> , May 1992, pp 114-145.
DS	A23	Griesinger, David, "IALF - Binaural Measures of Spatial Impression and Running Reverberance", <i>Presented at the 92<sup>nd</sup> Convention of the Audio Engineering Society</i> , March 1992, Preprint #3292, 42 pgs.
DS	A24	Griesinger, David, "Stereo and Surround Panning in Practice", <i>Presented at the 112<sup>th</sup> Convention of the Audio Engineering Society</i> , Munich, May 2002, 6 pages.
DS	A25	Griesinger, David, "Progress in 5-2-5 Matrix Systems", <i>Presented at the 103<sup>rd</sup> Convention of the Audio Engineering Society</i> , New York, September 1997, 34 pages.
DS	A26	Griesinger, David, "Multichannel Sound Systems and Their Interaction with the Room", <i>Presented at the 15<sup>th</sup> International Conference, of the Audio Engineering Society</i> , Copenhagen, October 1998, pp 159-173.
DS	A27	Griesinger, David, "How Loud Is My Reverberation?", <i>Presented at the 98<sup>th</sup> Convention of the Audio Engineering Society</i> , Paris, February 1995, 11 pages.
DS	A28	Griesinger, David, "Spaciousness and Localization in Listening Rooms and Their Effects on the Recording Technique", <i>J. Audio Eng. Soc.</i> , Vol. 34, No. 4, 1986, pp 255-268.
DS	A29	Griesinger, David, "The Psychoacoustics of Apparent Source Width, Spaciousness and Envelopment in Performance Spaces", <i>Acta Acoustics</i> , Vol. 83, 1997, pp 721-731.
EXAMINER	/Douglas Suthers/	
DATE CONSIDERED		08/16/2006

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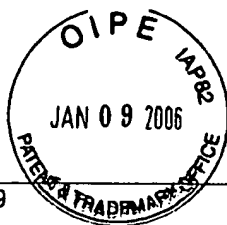
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(use several sheets if necessary)	APPLICANT(S): David H. Griesinger	

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DS	A30	Griesinger, David, "Surround: The Current Technological Situation", <i>SMPTE Journal</i> , 2001, pp 857-866.
DS	A31	Griesinger, David, "Feedback Reduction and Acoustic Enhancement Using An Inexpensive Digital Sound Processor", <i>Presented at the 15<sup>th</sup> International Congress on Acoustics</i> , Trondheim, June 1995, pp 473-476.
DS	A32	Griesinger, David, "The Science of Surround", <i>Presentation material from a speech given at McGill University</i> , copyright by David Griesinger, September 1999, 69 pages.
DS	A33	Griesinger, David, "Recent Experiences With Electronic Acoustic Enhancement in Concert Halls and Opera Houses", material from David Griesinger's Internet Home Page, obtained from the Internet at: <www.world.std.com/~griesngr...>, undated but prior to May 2002, 9 pages.
DS	A34	Griesinger, David, "The Theory and Practice of Perceptual Modeling – How to use Electronic Reverberation to Add Depth and Envelopment Without Reducing Clarity", material from David Griesinger's Internet Home Page, obtained from the Internet at: <www.world.std.com/~griesngr...>, undated but prior to May 2002, 28 pages.
DS	A35	Griesinger, David, "Internet Home Page", obtained from the Internet at: <www.world.std.cpm/~griesngr/>, printed on April 26, 2004.

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FORM PTO-1449	APPLICATION NO. 10/810,989	ATTORNEY DOCKET NO. 11336-699 (P02056US U3C)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 26, 2004	GROUP ART UNIT 2644
(use several sheets if necessary)	FIRST NAMED INVENTOR: David H. Griesinger	
	EXAMINER NAME: TBA	

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
DS	A1	3,845,572	11/05/1974	McCanney		
	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
	A8					
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	A13					

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	T
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					T
	A19					
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NOTE: For "T" - please place an "X" if an English translation is being provided to the Patent Office.

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